

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: SHU NAMIKI ET AL

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: RAMAN AMPLIFIER SYSTEM, APPARATUS AND METHOD FOR IDENTIFYING, OBTAINING AND MAINTAINING AN ARBITRARY RAMAN AMPLIFICATION PERFORMANCE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were provided in parent application Serial No. 09/775,632, filed February 5, 2001, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, MCCLELLAND,  
MAIER & NEUSTADT, P.C.

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
239422US-8CONTSERIAL NO.  
New Application

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

SHU NAMIKI ET AL

FILING DATE

Herewith

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,616,898	10/86	Hicks, Jr.			
	AB	4,699,452	10/87	Mollenauer et al			
	AC	6,115,174	9/00	Grubb et al			
	AD	6,178,038	1/01	Taylor et al			
	AE	5,673,280	9/97	Grubb et al			
	AF	5,887,093	3/99	Hansen et al			
	AG	6,320,884	11/01	Kerfoot, III et al			
	AH	5,715,263	2/98	Ventrudo et al			
	AI	2001/0036004A1	1/01	Ackerman et al			
	AJ	6,151,160	11/00	Ma et al			
	AK	6,344,922	2/02	Grubb et al			
	AL	6,417,959	7/02	Bolshtyansky et al			
	AM	6,452,716	9/02	Park et al			
	AN	6,452,715	9/02	Friedrich			
	AO	6,144,487	11/00	Michishita			
	AP	5,907,429	5/99	Sugata			
	AQ	6,433,921	8/02	Wu et al			
	AR	5,940,209	8/99	Nguyen			
	AS	5,912,761	6/99	Jander et al			
	AT	6,229,631	5/01	Sato et al			
	AU	6,310,716	10/01	Evans et al			
	AV	5,995,275	11/99	Sugaya			
	AW	6,317,255	11/01	Fatehi et al			
	AX	5,664,131	9/97	Sugiya			
	AY	6,246,510	6/01	BuAbbud et al			
	AZ	6,313,940	11/01	Bode et al			
	BA	6,292,288	9/01	Akasaka et al			
	BB	6,038,063	3/00	Tsuda et al			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	BC	WO 01/51986	6/00	PCT (with English Abstract)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	BD	Emori, Y. and Namiki, S. "100 nm bandwidth flat gain Raman amplifiers pumped and gained-equalized by 12-wavelength-channel WDM high power laser diodes" OFC/OOC 1999, Technical Digest. PD19/1-19/3, February 1999
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Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.